

PATENT Atty. Docket No. PA09IMPI035

Applicants or Patentees: Richard J. Lazzara, Thomas S. Heylmun and Keith D. Beaty

Serial or Patent No.:

I hereby declare that I am

Attorney's Docket No.: PA09IMPI035

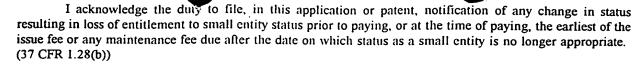
Filed or Issued:

For: Infection-Blocking Dental Implant

## VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

_	[ ] [X]		business concern identified below: business concern empowered to act on behalf of the concern identified
		OF CONCERN: SS OF CONCERN:	Implant Innovations, Inc. 4555 Riverside Drive Palm Beach Gardens, FL 33410
as defined Section 4 including employees employed concerns a	I in 13 I(a) an those of s of the on a fu are affil	CFR 121.3-18, and rep d (b) of Title 35, Unif its affiliates, does not business concern is the ll-time, part-time or teriates of each other whe	identified small business concern qualifies as a small business concern produced in 37 CFR 1.9(d), for purposes of paying reduced fees under ited States Code, in that the number of employees of the concern, exceed 500 persons. For purposes of this statement, (1) the number of e average over the previous fiscal year of the concern of the persons imporary basis during each of the pay periods of the fiscal year, and (2) an either, directly or indirectly, one concern controls or has the power arties controls or has the power to control both.
	oncern	identified above with re	er contract or law have been conveyed to and remain with the small egard to the invention entitled "Infection-Blocking Dental Implant" by
[] [ ]	X] the s ] applic ] patent	pecification filed herew cation serial no.:	rith _, filed _, issued
by any per or by any	r organi rson, oth concern	zation having rights to her than the inventor, w	identified small business concern are not exclusive, each individual, the invention is listed below* and no rights to the invention are held ho could not qualify as a small business concern under 37 CFR 1.9(d) lify as a small business concern under 37 CFR 1.9(d) or a nonprofit
*]	NOTE:		ments are required from each named person, concern or organization vention averring to their status as small entities. (37 CFR 1.27)
FULL NA	ME:		
ADDRESS		[]INDIVIDUAL []S	MALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION
FULL NAI	ME:		

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION



I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: TITLE OF PERSON OTHER THAN OWNER: ADDRESS OF PERSON SIGNING:

Keith D. Beaty	
Chief Executive Officer	
Implant Innovations, Inc.	
4555 Riverside Drive	
Palm Beach Gardens, FL 33410	

SIGNATUR

DATE Jan. 3 1997.

#### DECLARATION



As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe the below named inventors are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled "INFECTION-BLOCKING DENTAL IMPLANT", the specification of which was filed on January 3, 1997 as Application Serial No. 08/778,503.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

# PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MOTHS (6 MONTHS FOR DESIGN) PRIOR TO THIS APPLICATION AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. §119(a)-(d)

I hereby claim foreign/pct priority benefits under Title 35, United States Code, §119 of any foreign/pct application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

	PRIOR FOREIGN/PCT APPLICATION(S)			Priority Claimed	
				Yes/No	
<del></del>	(Number)	(Country)	(Date Filed)	<del></del>	
CLAIR	M FOR BENEFIT OF P	RIOR U.S. PROVISION	AL APPLICATIONS(S) (3	5 U.S.C. § 119(e))	
I hereb plication(s) li		nder Title 35, United S	tates Code, §119(e) of a	ny United States provisional	
	60/009,592	January 4,	1996	Pending	
(A)	polication Serial No.)	(Filing Da	te)	(Status)	

## CLAIM FOR BENEFIT OF PRIOR U.S. APPLICATIONS(S) (35 U.S.C. § 120)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), regarding events which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

08/607,903	February 27, 1996	Pending	
(Application Serial No.)	(Filing Date)	(Status)	

I hereby direct that all correspondence and telephone calls be addressed to Stephen G. Rudisill, Arnold, White & Durkee, P.O. Box 4433, Houston, Texas 77210, (312) 744-0090.

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I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	Inventor's Full Name:	Richard	<u> </u>	Lazzara
		(First)	(Initial)	(Last)
	Inventor's Signature:			
	Date: 22 Mg 7	Country of Citizenship:	U.S.A.	
			rth, Florida 33460, U.S.A.	
	(Include i	number, street name, city, state, an	d country)	
	Inventor's Full Name:	Thomas	S.	Heylmun
ΙΩ		(First)/	(Initial)	(Last)
Ų	Inventor's Signature:	Sh 8	Mr	
	Date: $5 - 9 - 97$	Country of Citizenship:_	U.S.A.	
ij U	Residence: 387A	Willow Pond Road West F	Palm Beach, Florida 33417 U	Ις Δ
ŧi		number, street name, city, state, an		7.0.71,
<u>-</u>  1				
nga	Inventor's Full Name:	Keith	D):	Beaty
Ē		(First)	(Initial)	(Last)
₩.	Inventor's Signature:		Firth (D)=	Se je
	Date: <u>5-20-97</u>	Country of Citizenship:_	U.S.A.	
		erside Drive West, Jupiter	Florida 33469-2930 U.S.A.	

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application Of:	)	Atty. Docket No.: IMPI:035
RICHARD J. LAZZARA,	)	Examiner: Paul Prebilic
THOMAS S. HEYLMUN and	)	
KEITH D. BEATY	)	Group Art Unit: 3308
	)	
Application No: 08/778,503	)	•
	)	
Filed: January 3, 1997	)	
	)	
For: INFECTION-BLOCKING DENTAL	)	
IMPLANT	)	

### ELECTION UNDER 37 CFR §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

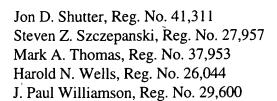
Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an Assignment recorded in the U.S. Patent and Trademark Office as set forth below, hereby elects, under 37 CFR § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Timothy R. Baumann, Reg. No. 40,502 Michael J. Blankstein, Reg. No. 37,097 Mary Jo Boldingh, Reg. No. 34,713 Daniel J. Burnham, Reg. No. 39,618 Mark V. Campagna, Reg. No. P42,380 Ronald B. Coolley, Reg. No. 27,187 Roger J. French, Reg. No. 27,786 Janet M. Garetto, Reg. No. P42,568 John C. Gatz, Reg. No. P41,774 Paul R. Kitch, Reg. No. 38,206 Alejandro Menchaca, Reg. No. 34,389 Stephen G. Rudisill, Reg. No. 20,087 Steven R. Santema, Reg. No. 40,156



each an attorney or agent for the firm of ARNOLD, WHITE & DURKEE, as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the U.S. Patent and Trademark Office in connection therewith, to receive any Letters Patent, and to file any request for a certificate of correction that may be deemed appropriate for one year after issuance of such Letters Patent.

Pursuant to 37 CFR § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment referenced below, and certifies that to the best of his knowledge and belief, title remains in the name of the Assignee, Implant Innovations, Inc.

Please direct all communications as follows.

Stephen G. Rudisill ARNOLD, WHITE & DURKEE P.O. Box 4433 Houston, TX 77210-4433 (312) 744-0090

IMPLANT INNOVATIONS, INC.

Date:	3-4-98	[ ] Solum
		Edward Sabin
		Vice President of Finance and Administration
Assign	nment	
	Concurrently filed	
<u>X</u>	Previously recorded	
	Date: December 8, 1997	

Reel:

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